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City of Indianapolis Statement of Economic Interest

Please review ethics.indy.gov if you have questions about this form.

The City of Indianapolis/Marion County requires the following individuals to file this form with the Office of Corporation Counsel (OCC) between April 1st and May 1st each year:

1. The Mayor, Assessor, Auditor, Clerk, Coroner, Recorder, Sheriff, Surveyor, Treasurer, and any declared candidate for those offices.
2. Appointees to city/county boards/commissions (except advisory bodies designated by OCC);
3. The director of any city/county agency/department and any employee who reports directly to the director and any employee who reports directly to an elected official listed in subpart (1);
4. Any employee whose employment is subject to the approval of the City-County Council; and,
5. Any employee designated by OCC as having "final purchasing authority" and all employees of the purchasing division of the Office of Finance and Management.

Please Note:

- If you fall into multiple categories above, you need only file one (1) form.
- In addition to the annual filing requirement, new appointees and new employees, declared candidates for elected office, and employees leaving city/county employment must also file this form, as required by Section 293-322. (For more information on this, see www.indy.gov/ethics.)
- An individual required to file this form must file an amended form upon discovery of any additional information that is required to be reported.
- OCC reviews each form to ensure that it is complete, legible, and filed in a timely manner.
- Failure to file the form in a timely manner may subject you to sanctions by the Ethics Commission.

ALL QUESTIONS MUST BE ANSWERED COMPLETELY & LEGIBLY

1. Your Full Name
2. Your Email Address Your Work Phone Number
3. Your Employer's Name
4. Your Employer's Address
5. Nature of Your Employer's Business
6. **For Appointees Only.** List any city/county boards/commissions to which you are appointed:

7. **For Elected Officials and Employees Only.** If you are an elected official or employee of a city/county department/agency please list your title/position and if you serve on any boards/commissions as part of your role as an elected official or employee, please list those boards/commissions.

Marion County Sheriff, Boards or Commissions - Criminal Justice Planning Council, IT Board, Marion County Forensic Services Board, MESA Board, Marion County Community Corrections, Marion County Crime Reduction Board, Assessment and Intervention Center Board

Please Complete Reverse Side

Please attach extra sheets as necessary to fully respond to any question.

8. Did you, your spouse, or your dependent have a financial interest in any contract, other than an employment contract, with any city/county agency/department during the past twelve (12) months?
 YES NO

If YES, list the agency/department and contractor's name, and describe each such contract:

9. State the name of any business entity from which you received compensation that to the best of your knowledge does (or intends to do) business with any city/county agency/department during your term of office, employment, or appointment with the city/county OR check here if none.

10. State the name of any business entity in which you, or any member of your immediate family, owns stocks, bonds, or other investments which (i) constitute ownership of five (5) percent or more of that business or (ii) have a value in excess of five thousand dollars (\$5,000.00) and which business entity, to the best of your knowledge, is doing or intends to do business with an agency/department OR check here if none:

11. Provide any additional information you would like to disclose on an attached sheet OR check here if there is no other additional information you would like to disclose.

12. **Elected Officials Only:** Did you accept any entertainment, food, drink, honoraria, travel expenses, and registration fees from a person who has a business relationship with any city/county agency/department that (i) are not exempted by subparts (2) through (11) of Section 293-201(b) and (ii) are valued in excess of \$100? YES NO

If YES, list, on an attached sheet, from whom the item was received, the date the item was received, a short description of the item, and the value of the item.

- Check here if you have NOT received ethics training by the Office of Corporation Counsel (OCC) in the last two years. If you have not, OCC will contact you to schedule training.

**I AFFIRM UNDER THE PENALTIES OF PERJURY THAT MY STATEMENTS ARE TRUE
AND ACCURATE TO THE BEST OF MY KNOWLEDGE**

Signature

Ken J. Forester

Date

4-25-23

PLEASE SIGN, DATE, & RETURN THIS COMPLETED FORM TO THE

**Office of Corporation Counsel
1601 City County Building
200 East Washington Street
Indianapolis, Indiana 46204
Fax: 317.327.3968
Email: ethics@indy.gov**